

REGULATORY EXAMINER SUPERVISOR

NATURE OF WORK IN THIS CLASS:

This is complex professional supervisory and regulatory examining work.

Employees in this class supervise enforcement programs of the Insurance, Securities and Banking Division of the Department of Revenue and Taxation.

ILLUSTRATIVE EXAMPLES OF WORK: (Any one position may not include all the duties listed, nor do the examples cover all duties which may be performed.)

Supervises subordinates engaged in the examination of records of banks, savings and loan and other financial institutions; insurance companies, security and real estate brokers to insure compliance with the Uniform Commercial Code, Savings and Loan Association Act, Insurance Law, Uniform Securities Law and other governing regulations; coordinates the schedule of examinations with the Federal Deposit Insurance Corporation for examinations made concurrently.

Conducts highly complex audits of problem institutions; recommends appropriate action to correct any unsatisfactory conditions or trends disclosed by the examination.

Supervises and participates in the budget formulation and other administrative functions of the division.

Assists in the formulation of rules, regulations, policies and procedures.

Performs related duties as required.

MINIMUM KNOWLEDGE, ABILITIES AND SKILLS:

Knowledge of the principles and practices of accounting and auditing.

Ability to interpret and apply the laws and regulations governing the operation of banks and other financial institutions, insurance, security and real estate companies.

Ability to supervise the work of others.

Ability to make decisions in accordance with appropriate program guidelines.

Ability to analyze and interpret financial reports for irregularities.

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Ability to evaluate operational effectiveness and recommend and implement changes in examination methods and procedures.

Ability to work effectively with the public and employees.

Ability to communicate effectively, orally and in writing.

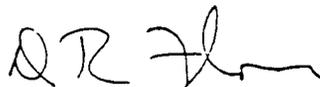
Ability to maintain records and prepare reports.

MINIMUM EXPERIENCE AND TRAINING:

(A) Four years of specialized experience in either banking, insurance securities, or real estate regulatory examining work and graduation from a recognized college or university with a Bachelor's degree in accounting or related field with 24 semester credit hours in accounting/auditing courses; or

(B) Any equivalent combination of experience and training which provides the minimum knowledge, abilities and skills.

ESTABLISHED: JULY 1980



DAVID R. FLORES, Executive Director
Civil Service Commission